RESEARCH ETHICS CHALLENGES IN AN EVOLVING PUBLICATION LANDSCAPE

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An evolving publication landscape



The Open access market



Projections

Open access articles will hit 3 million by 2025, capturing 44% of total article volume (Hook, 2018).

Global distribution

 Latin America has among the highest open access publication rates (nearly 70%), followed by Asia (32%) and Europe/North America (25%) in 2018 (Johnson, 2021)

The rise of "mega-journals"

PLOS ONE (Public Library of Science) 35,000 30,000 25,000 20,000 15,000 10,000 5,000 0 20⁰ no⁰ no⁰ no⁰ no¹ no¹

International Journal of Environmental Research and Public Health (MDPI)



Scientific Reports (Nature Research)







Journals with most papers published in 2022

| | INTERNATIONAL | CUREUS | | MATERIALS, 8,9 | CHEMI ENGINEI RIALS, 8,956 JOURNAL | | FRONTIERS IN PSYCHOLOGY, 0 8,116 | |
|---|-----------------------------------|--|---|------------------------------------|--|--------------------------------------|--|--|
| SCIENTIFIC REPORTS, 21,752 | PORTS, 21,752 | | URNAL OF MEDICAL NCE, 11,704 | FRONTIERS IN IMMUNOLO | JOURNAL C CLINICAL MEDICINE 7,162 | F REMOTE SENSING 6,349 | JI A C | JOURNAL OF ALLOYS AND COMPOUN 6,189 |
| SUSTAINABILITY, 16,896 | PLOS ONE 15.330 | SCIENCE OF THE TOTAL ENVIRONMENT, 9,971 | ENERGIES, 9,399 ENVIRONMENTAL SCIENCE AND | NATURE | CANCERS, | CHEMOSPHE. 5,540 | POL | LYMERS, 5,515 |
| | | | RESEARCH, 9,005 | 7,375 | 6,140 ACS APPLIED | FRONTIERS I PLANT SCIEN(5,447 | N FRO | ONTIERS IN CROBIOLO 5,295 |
| INTERNATIONAL JOURNAL OF ENVIRONMENTAL RESEARCH AND PUBLIC HEALTH, 16,890 | APPLIED SCIENCES BASEL, 12,742 | SENSORS, 9,958 | MOLECULES, 8,988 | FRONTIERS IN ONCOLOGY, 7,306 | MATERIA INTERFA 5,817 | FRONTIERS IN PHARMACOL 5,413 | N NU | TRIENTS, 5,264 |

The rise of Special issues

Data 2016-2022

| Regular Issue Special Issue | | | | | | |
|-----------------------------|-----------------------|---------------|--------------|--------------|--|--|
| MDPI | 15% (44,346) | 85% (261,149) | | | | |
| Frontiers | 35% (43,290) | | 65% (81,720) | | | |
| Hindawi | 56% (43,716) | | | 44% (34,010) | | |
| Wiley | 94 % (325,620) | | | | | |
| Springer | 96 % (448,084) | | | | | |
| Nature | 91% (117,083) | | | | | |
| BMC | 90% (102,347) | | | | | |
| PLOS | 97% (60,622) | | | | | |

Source: Public funds being swallowed up by scientific journals with dubious articles, El Pais, October 31, 2023

Retractions



Retractions of scientific papers have increased 10-fold since the 1970s and the rate has accelerated in the past decade (Brainard, 2022)

2010: around 400 English-language retractions (Retraction Watch Database), rising to 1,400 by 2015 (HUT, 2022).

2020: retractions exceeded 2,000 per year, reaching over 40,000 retractions in 2022 (Brainard, 2022; HUT, 2022).



Reasons for retraction

Most common reasons: fraud/fabrication of data (43%), plagiarism (17%), mistakes (10%), and unreproducible results (5%) (Brainard, 2021).

Other major causes: duplicate publication, authorship issues, ethical concerns, and journal error The reasons vary across fields



Retractions across academic fields

Certain fields like biological and medical sciences have higher rates of retraction compared to physical sciences, social sciences, and humanities (Fanelli et al., 2015).

Wiley and Hindawi to retract 1,200 more papers for compromised peer review

Hindawi and Wiley, its parent company, have identified approximately 1,200 articles with compromised peer review that the publishers will begin retracting this month.



The publisher Frontiers has retracted nearly 40 papers across



multiple journals linked to "the unethical practice of buying or selling authorship on research papers," according to a <u>press re-</u> <u>lease</u> posted to a company website Monday.

Sage retracts more than 200 papers from journal for compromised peer review

The publisher Sage has retracted 209 articles from an engineering journal after an investigation found "compromised peer review or 3rd party involvement," according to a company spokesperson.



The retractions, all from the *International*

<u>Journal of Electrical Engineering & Education</u>, stem from an investigation that led Sage to <u>retract 122 papers</u> – as well as fire the editor-in-chief and purge the editorial board – in December 2021.

Retraction Watch Database



http://retractiondatabase.org/RetractionSearch.aspx?



Predatory publishing

Exploitative academic publishing business model

Charging publication fees to authors without checking articles for quality and legitimacy

Listing academics as members of editorial boards without their permission

Fake indexing of the

journals

Accepting articles quickly with little or no

peer review or quality

control, including

mediocre and fake

papers

Not providing editorial and publishing services that legitimate academic journals provide, whether open access or not

https://predatoryreports.org/

Appointing fake academics to editorial boards g of the s of the s of the style of more established journals

Allow editors to publish

repeatedly in the

journals they command

Aggressively

Notifying of article fees

only after papers are

accepted

Scandinavian Journal of Information Systems (SJIS)

Duplicate & salami slicing publication

Duplicate publication

The exact same data is stated into more than one publication

Salami slicing

A piece of research is divided (sliced) into several miniresearch papers in order to publish a larger number of manuscripts

Generally, these publications share the same hypotheses, population and methods

The published research is less consistent and substantial

The scattering of information may prevent readers to see "the big picture" and lead to the misinterpretation of the findings

A slicker duplicate publication

| Paper | Variables | Period and coverage |
|---|--|---------------------|
| Alsaleh, M., Zubair, A. O., & Abdul-Rahim, A. S. (2020). The impact of global competitiveness on the growth of bioenergy industry in EU-28 region. Sustainable Development, 28(5), 1304-1316. | Bioenergy output – dependent variable Independent variables: WEF Global Competitiveness pillars: Enabling Environment, Human Capital, Markets, Innovation Ecosystem | 1990-2018, EU-28 |
| Alsaleh, M., & Abdul-Rahim, A. S. (2021). Do global competitiveness factors effects the industry sustainability practices? Evidence from European hydropower industry. Journal of Cleaner Production, 310, 127492. | GDP Hydropower output – dependent variable Independent variables: WEF Global Competitiveness pillars: Enabling Environment, Human Capital, Markets, Innovation Ecosystem GDP | 1990-2018, EU-28 |

Data fabrication and falsification

- Data fabrication: making up of research findings
- Data falsification: manipulating research data with the intention of giving a false impression
 - Manipulating images, removing outliers or "inconvenient" results, changing, adding or omitting data points, etc.
- Form of research misconduct that affects the credibility of research and decreases public trust in science





Source: Retraction Watch Database

Paper mills or merchandising science

Unofficial, potentially illegal organizations that fabricate and sell fake scientific manuscripts that are submitted to scholarly journals, often to multiple journals at the same time. Already discovered in Russia, Iran, India, Latvia

PM can camouflage their activities under the facade of professional autonomous agencies serving the international market. PM pseudo-scientific manuscripts are difficult to identify through peer review or conventional editorial tools

Al has completely changed the landscape, as now papers can be produced in hours, not days/weeks

Highly prolific authors



In earlier years, the activity of PM involved plagiarism, but their processes have gained complexity, involving the fabrication of images and fake results

- Publication quotas and standards
- Project funding
- Employment, promotions and monetary bonuses linked to institutional metrics and scientometric indicators
- Growth of the profit-based publishing model
- Digital publishing leading to journal oversupply
- Insufficient numbers of overburdened peer reviewers

A paper mill in Latvia

Co-authorship in Scopus, WoS scientific papers

Publication Support Services for Scientific, Academic Papers and Manuscripts



| Co-authorship provides an possibility to become a co-author of an existing article that has been submitted for publishing in Scopus, Web of Science (SCIE, SSCI, | |
|--|--|
| AHCI, ESCI) scientific journal. | |

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#23-comp Feasibility Analysis of Innovative Projects to Develop High-Tech Products: The Instruments and Algorithms

Abstract: Nowadays, economic development is inseparably associated with high technology applications and the practical prologue of radical innovations. The implementation prospects offer an essential contribution in managing the project to develop high-tech product analysis. It would be ideal to base it on assessing the sufficiency of the internal possessions, [...] Load More

Keywords: innovative project management, expert diagnostic system, high-tech products, diagnostics of the internal and external environment of the enterprise, fuzzy logic, fuzzy pyramidal networks.

Date of publication: March 2024 / Deadline: 25 November 2023

Journal title: available for those who has paid.

Region of the journal publication: Europe.

Scientific field: Economics, Econometrics and Finance, Social Science.

Indexing of the journal: Scopus Q1-2.

Speciality

Scopus

Q1-Q4

Country

| Article Author: | | | |
|-----------------|-----------|-----------|-----------|
| Author #1 | Author #2 | Author #3 | Author #4 |
| FREE | FREE | FREE | BUSY |
| USD 860 | USD 790 | USD 740 | |
| Buy | Buy | Buy | |
| Author #5 | Author #6 | | |
| BUSY | BUSY | | |
| | | | |

The many "services" of paper mills

Ghost-writing of pseudo-original articles containing fake, stolen or manipulated data and images





Selling of authorship positions in the articles' by-lines

Ghost-writing of reviews and meta-analyses





Purchase from an online manuscript catalogue

Provision of fraudulent or plagiarized data sets





Fake peer review

Translation of an article first published in a non-English language and then submitted for publication in a certain English-language journal





Submission and correspondence management

Based on Pérez-Neri et al. (2022)

Informal PM: authorship & citation networks



Share many characteristics of PM but not the appearance



Publication in dedicated journals, mainly through Special issues and involving very rapid peer review



The prominent members of the network are included in Editorial boards

The papers of the networks:

- Duplicate & salami slicing techniques
 - Fake data and results
 - Low English quality
- Excessively high number of co-authors



The participants in the network publish heavily and the citations skyrocket ⇒ scientometric indicators soar

Case study 1 – A Spanish scholar

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Documents in the top 25% most cited documents worldwide

Documents and citations in Scopus



Co-authorship: Number of distinct authors per country

198 co-authors



© Australian Bureau of Statistics, GeoNames, Microsoft, Navinfo, Open Places, OpenStreetMap, TomTom, Zenrin

Source: Scopus (search on Nov. 3, 2023)

Case study 2 – A Romanian scholar

Documents and citations in Scopus Co-authorship: Number of distinct authors per country Authors Documents Citation Documents ---Citations Documents in the top 25% most cited documents worldwide

Powered b © Australian Bureau of Statistics, GeoNames, Microsoft, Navinfo, Open Places, OpenStreetMap,

179 co-authors

Source: Scopus (search on Nov. 3, 2023)

Documents In Top 25% Most Cited

Case study 3 – Another Romanian scholar

19 Studing 0 2008 Documents --- Citations 2024

Documents and citations in Scopus

Documents in the top 25% most cited documents worldwide



Co-authorship: Number of distinct authors per country



Source: Scopus (search on Nov. 3, 2023)

935 co-authors

PM are a widespread and substantial problem for science and research Confusion to entire areas of research Distorted journals IFs Public funds use Some journals are The injection of large numbers of Finance the Published papers are preferred publication fake papers complicates the generally well cited production of outlets differentiation between real and meaningless papers invented findings Soaring Impact process Encourage purchasing factors and other of authorship, instead Meaningful progress in the field scientometric Special issues of promoting science indicators is impeded

Publication fees

Rogue editor and

Retractions of PM papers

First paper retracted for paper milling

MOLECULAR MEDICINE REPORTS 15: 948-956, 2017

MicroRNA-152 inhibits cell proliferation, migration and invasion by directly targeting MAFB in nasopharyngeal carcinoma

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Number of papers retracted due to paper mills



What role for AI?

Text analysis algorithms

Identify patterns, anomalies, and similarities that may indicate an academic paper was written by an Al system from a paper mill rather than a human researcher..

Machine learning techniques

be trained on datasets of both legitimate and paper mill generated papers to detect subtle differences in style, tone, and content

Natural language generation systems

Can produce high quality, humanlike academic papers and could help test and improve paper mill detection systems



Web scraping and plagiarism checkers based on Al Compare papers to identify reuse or templating

Network analysis algorithms

track relationships between authors, institutions, citations, collaborations, etc. to uncover suspicious clusters indicative of coordinated paper mill activity

Al cannot fully automate or solve the problem of academic fraud and paper mills. But it can be a beneficial supplementary tool among a broader integrity strategy.

What is being done

STM Solutions releases MVP of new paper mill detection tool

News Announcement, Research Integrity April 13, 2023

Today, STM Solutions, the operational arm of STM, announced the release of the MVP (minimal viable product) of a paper mill detection tool as part of the STM Integrity Hub. It is a stand-alone web application where submitted journal manuscripts can be uploaded and checked for a variety of signals indicative of paper mills.

NEWS 23 September 2022

'Papermill alarm' software flags potentially fake papers

The text-analysis tool could be used to help detect bogus research submitted to journals.

What needs to be done

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6



Enhanced screening and review processes at journals relying on human expertise and AI tools Campaigns and conferences to increase awareness about paper mills



Protections and support for whistleblowers and sleuths who evidence paper mill activities.



Enforced authorship policies requiring transparency about publications

Exposing the companies selling papers, not just the individuals that purchase them



Stronger collaborations between journals, institutions, funders, and oversight bodies to coordinate responses

3

Training for researchers on research integrity issues and the issue of paper mills

Developing international databases of retracted works and authors disciplined for fraud to prevent misconduct

9

Alternative research evaluation models that reduce reliance on scientometric indicators vulnerable to manipulation

Thank you!